



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor

: Stephen M. REUNING

Serial No.

: 09/897,826 : 03 July 01

Filing Date

Title

: Candidate Chaser

Group Art

: 2175

Examiner

: Laufer, S.P.E.

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#### RULE 1.181(a) PETITION TO WITHDRAW HOLDING OF ABANDONMENT

Applicants respectfully requests that the office withdraw the holding of Abandonment pursuant to Rule 181(a) and Manual of Patent Examining Procedure § 711.03(c)(1)(B) (2004), and return the case to the Board of Patent Appeals and Interferences for Rehearing.

#### STATEMENT OF FACTS

- The captioned application is a continuation of parent application serial no. 08/984,650. The parent was filed 3 Dec 1997.
- On concluding the four-year prosecution of the parent application, the Examiner agreed that certain subject matter was patentable over the art of record, based on Applicant's antedating Rule 131 Affidavit.
- Applicant accordingly filed the immediate continuation 3. application, claiming this subject matter. These claims were, surprisingly, rejected because the Examiner did not consider the earlier-filed antedating Affidavit.
- Applicant thus filed an Appeal. On 12 July 2004, the 4. Board issued a Docketing Notice. That Notice advises:

The facsimile number of the Board is 703-308-7952. Because of the heightened security in the Washington D.C. area, facsimile communications are recommended.

- 5. On 30 Sept. 2004, the Board issued its Order, affirming the rejections without considering the Affidavit.
- 6. On 23 November 2004, I filed a Rule 97(b) REQUEST FOR REHEARING (copy enclosed). This request is believed timely filed, because it was filed within two months of the mailing date of the Board's decision. Per the Board's instruction, I submitted this by facsimile to the Board at 703-308-7952. Per Rule 1.8, I accompanied this with a Certificate of Facsimile Transmission.
- 7. On 25 Jan 2005, the Examiner issued a Notice of Abandonment. That Notice does not mention my 23 November 2004 communication.
- 8. It appears, then, that my 23 November 2004 communication was not received in the Patent and Trademark Office. Rule 1.8(b) says:
  - (b) In the event that correspondence is considered timely filed by being mailed or transmitted in accordance with paragraph (a) of this section, but not received in the Patent and Trademark Office, and the application is held to be abandoned . . ., the correspondence will be considered timely if the party who forwarded such correspondence:
    - (1) Informs the Office of the previous mailing or transmission of the correspondence promptly after becoming aware that the Office has no evidence of receipt of the correspondence;
    - (2) Supplies an additional copy of the previously mailed or transmitted correspondence and certificate; and

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- (3) Includes a statement which attests on a personal knowledge basis the or satisfaction of the Director to the previous mailing transmission. timely or facsimile correspondence was sent by transmission, a copy of the sending unit's report confirming transmission may be used to support this statement.
- 37 C.F.R. 1.8(b) (emphasis added). To obtain benefit of the Rule, then, Applicant must satisfy several legal elements:
  - (a) the communication must have been transmitted in accordance with 1.8(a);
  - (b) the communication was not received in the Patent and Trademark Office;
  - (c) the application is held abandoned;
  - (d) Applicant informs the Office of the previous correspondence promptly after becoming aware of the loss;
  - (e) Applicant supplies an <u>additional copy</u> of the correspondence;
  - (f) Applicant includes a statement which attests on a <u>personal knowledge basis</u> to the previous timely transmission.
- 9. Accordingly, On 3 Feb 2005, I submitted a duplicate copy of my 23 Nov. 2004 Rule 97(b) REQUEST FOR REHEARING, requesting that the holding of abandonment be withdrawn.
- 10. The 3 Feb 2005 submission includes all of the elements required of a Petition under Rule 1.181(a). The 3 Feb 2005 submission also fulfils each of the elements of Rule 1.8(b). Specifically:
  - (a) the communication was transmitted by facsimile in accordance with 1.8(a);
  - (b) the communication apparently was not received in the Patent and Trademark Office;
  - (c) the application was held abandoned;

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(d) Applicant informed the Office of the previous correspondence <u>promptly</u> after becoming aware of the loss (F.Y.I., I sent the 3 Feb 05 communication the same day I became aware of the loss);

- (e) the 3 Feb 05 communication supplied an additional copy of the earlier correspondence; and
- (f) the 3 Feb 05 communication includes a statement which attests on a personal knowledge basis to the previous timely transmission ("On 23 Nov 04, I filed a Request for Reconsideration").

The 3 Feb 2005 Petition therefore fulfils each of the elements of Rule 1.8(b).

- It may be argued that without a printed receipt showing proper reception of the facsimile by the Patent Office, there is corroboration for no mу affirmation of timely transmission. Rule 1.8(b)(3), however, does not require documentary evidence; to the contrary, Rule 1.8 by its own terms applies where the communication is "not received" by the Office at all - and thus where no receipt can be issued. Similarly, Rule 1.8(b)(3) should not be read to require Applicant to provide a receipt of facsimile delivery, because this would raise a standard impossible to meet, because the Board does not provide facsimile transmissions receipt.
- 12. The 3 Feb 2005 Petition therefore fulfils each of the elements of Rule 1.8(b).
- 13. Today, I spoke with Supervisory Patent Examiner Pinchus M. Lauffer, Esq., who kindly requests that I re-file my 3 Feb. 05 Petition in a more formal format. I respectfully believe the immediate paper does this.

#### POINT TO BE REVIEWED

Stephen M. REUNING Candidate Chaser, Serial No. 09/897,826 Filed 3 July 2001 Page 5

Whether the holding of Abandonment may be withdrawn pursuant to Rule 1.8(b)?

#### ACTION REQUESTED

Applicant respectfully requests that the holding of Abandonment be withdrawn pursuant to Rule 1.8(b), and the request for Reconsideration forwarded to the Board for review.

#### ENCLOSURES

I enclose copies of the 23 November 2004 Request and the 3 Feb 2005 communication. No fee is believed required for this Petition.

Respectfully submitted,
PHARMACEUTICAL PATENT ATTORNEYS, LLC

By Mark Pohl, Reg. No. 35,325 55 Nadison Avenue, 4<sup>th</sup> floor Morristown, NJ 07960-7397

28 June 2005

SD:AA Office\Forms - Patents\Petition - Rule 181(a)

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JUL 1 2 2004

U.S. PATENT AND TRADEMARK OFFICE BOARD OF PATENT APPEALS AND INTERFERENCES

Under Secretary of Commerce for Intellectual Property and Director of the United States Patent and Trademark Office Washington, D.C. 20231 www.uspto.gov

JUL 0 1 2005

<sup>3</sup>aper No.:

18

Appeal No:

2004-1714

Appellant:

REUNING, STEPHEN MICHAEL

Application: 09/897,826

PHARMACEUTICAL PATENT ATTORNEYS, LLC

55 MADISON AVENUE

4TH FLOOR

**MORRISTOWN NJ 07960-7397** 

## **Board of Patent Appeals and Interferences Docketing Notice**

Application 09/897,826 was received from the Technology Center at the Board on 15-Jun-04 and has been assigned Appeal No: 2004-1714.

A review of the file indicates that the following documents have been filed by applicant:

Appeal Brief filed on:

10/24/03

Reply Brief filed on:

3/8/04

Request for Hearing filed on:

None

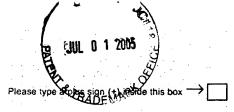
In all future communications regarding this appeal, please include both the application number and the appeal number.

The mailing address for the Board is:

BOARD OF PATENT APPEALS AND INTERFERENCES UNITED PATENT AND TRADEMARK OFFICE P.O. BOX 1450 ALEXANDRIA, VIRGINIA 22313-1450

The facsimile number of the Board is 703-308-7952. Because of the heightened security in the Washington D.C. area, facsimile communications are recommended. Telephone inquiries can be made by calling 703-308-9797 and should be directed to a Program and Resource Administrator.

6**833** Seward



PTO/SB/21 (08-00)
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	Application Number	09/897,826
TRANSMITTAL	Filing Date	3 July 2001
FORM	First Named Inventor	Stephen M. REUNING
(to be used for all correspondence after initial filin	g) Group Art Unit	2175
	Examiner Name	Samuel RIMELL, Esq.
Total Number of Pages in This Submission	Attorney Docket Number	Diedre Moire Corp.
EN EN	NCLOSURES (check a	all that apply)
Fee Transmittal Form As	signment Papers r an Application)	After Allowance Communication to Group
Fee Attached Dr.	awing(s)	Appeal Communication to Board of Appeals and Interferences
Amendment / Reply	censing-related Papers	Appeal Communication to Group
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Affidavits/declaration(s)	tition to Convert to a ovisional Application	Status Letter
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Certified Copy of Priority Document(s)  Remarks		ed find a Request for Rehearing
Response to Missing Parts/ under R Incomplete Application required		osed, because none is believed
Response to Missing Parts		
under 37 CFR 1.52 or 1.53		
SIGNATURE OF AP	PLICANT, ATTORNEY, OR A	GENT
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or Individual name 55 Madison Avenue, 4th	floor, Morristown NJ 0	7960-7397 USA
Signature A MU (U)		
Date See below date	4.4.4.4.4.4.4.4.4.4.4.4.4.4.4.4.4.4.4.4.	
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I hereby certify that this correspondence is being deposited w mail in an envelope addressed to: Commissioner for Patents,	ith the United States Postal Service	with sufficient postage as first class e:
Typed or printed name Mark POHL, Reg. No. 3		
Signature	Date	23 Nov 04

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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#### Certificate of Transmission under 37 CFR 1.8

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office Central Facsimile Center (703) 872-9306

on 23 Nov 04

Date

Signature

Mark POHL, Reg. No. 35,325

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper.

The submitted papers are enumerated on the enclosed Transmittal Form, PTO Form SB/21.



#### IN THE UNITED STATES PATENT OFFICE

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Ex parte Stephen M. REUNING Serial No. 09/897,826 Filing Date: 03 July 2001

Appeal No.: 2004-1714

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Rule 197(b) REQUEST FOR REHEARING

Honorable Commissioner for Patents
Post Office Box 1450
Mail Stop - Board of Patent Appeals
Alexandria, VA 20231

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#### **RULE 197(B) REQUEST FOR REHEARING**

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This REQUEST FOR REHEARING is submitted in response to the DECISION ON APPEAL (30 Sept 2004). This paper is due within two months of the mailing date of the DECISION ON APPEAL; this paper is thus believed timely filed. No fee is believed required.

#### GROUNDS UPON WHICH REHEARING IS SOUGHT

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Applicant respectfully believes the Board has failed to fully understand the agency's previous administrative fact findings. Applicant senses that this error may simply be due to the Office's failure to forward to the Board the complete prosecution history, including a copy of the parent application file, Serial No. 08/984,650.

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The immediate case recites claims copied from the parent application. In the parent case, the Examiner accepted Applicant's RULE 132 DECLARATION to antedate the MCGOVERN reference. In the immediate case, however, the Examiner refuses to accept the same DECLARATION, to antedate the same reference, for the same claims.

#### POINTS OF LAW OR FACT OVERLOOKED OR MISAPPREHENDED

The DECISION ON APPEAL correctly notes that "the claims on appeal are different from the claims allowed in the parent application." See DECISION ON APPEAL at 3.

This is incorrect. The claims on appeal are different from those recited included in the published issued parent patent. The parent application, however, included additional claims found allowable by the Office. After the Office confirmed the patentability of this subject matter, these claims were deleted from the parent and filed in the immediate case, based on the Examiner's representation that these claims would be promptly allowed.

The DECISION ON APPEAL also misapprehends the content of MCGOVERN, because the DECISION contradicts the agency's administrative factual findings that MCGOVERN fails to each claim element.

The DECISION accurately summarizes the claimed invention as a system for locating an individual with specifically defined professional qualifications. See DECISION at 1. The DECISION accurately summarizes the claimed invention:

1. A system for locating an individual with specifically defined professional qualifications, the system comprising: a. a filter that can search a web page to identify in said web page the presence or absence of specifically defined professional qualifications, and b. an e-mail address extractor that can extract an e-mail address from said web page.

Thus, application claim 1 entails using specifically defined criteria to filter web pages, and then extracting email addresses from them. The parent application recited similar application claims. For example, parent application claim 18 claims:

18. A computer implemented method comprising: a locating an Internet site page or web posting which contains operator specified text comprising specifically defined experiences ...; b. extracting from said Internet site page or web posting an email address; and c sending an electronic mail message to said extracted address.

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Ex parte Stephen M. REUNING Serial No. 09/897,826 Art Unit 2175 Examiner Samuel RIMELL, Esq.

See AMENDMENT IN SERIAL NO. 08/984,650 at 4-5 (15 Feb. 2000). Thus, parent application claim 18, like the immediate application claim 1, entails using specifically defined criteria to filter web pages, and then extracting email addresses from them.<sup>1</sup>

In the parent case, parent application claim 18 was rejected as obvious over MCGOVERN. See Office Action at 4-6 (1 May 2000).

In response, Applicant submitted a RULE 132 DECLARATION (14 July 2000). In response, the Office withdrew the obviousness rejection over MCGOVERN and conceded that claim 18 is patentable. See e.g., OFFICE ACTION at 2 (24 Aug. 2000).

In response to the 1 May 2000 obviousness rejection over MCGOVERN, Applicant also explained that "McGovern does not disclose a system involving getting an e-mail address – by extraction or otherwise – from an <u>Internet page</u> or web posting; McGovern's e-mail addresses need to be individually sent to or input into the system." *See* AMENDMENT at 6-7 (14 July 2000) (emphasis in original). In response, the Office withdrew the rejection based on MCGOVERN and conceded that claim 18 is patentable. *See e.g.*, OFFICE ACTION at 2 (24 Aug. 2000).

The Office made an express written finding that claim 18 is patentable (in fact, the Office reiterated this finding more than once). The Office has found that "comparing text against professional qualifications and electronically extracting e-mail addresses when those qualifications are met" is a novel, non-obvious combination. Based on the Office's written fact finding, Applicant filed the immediate application. Having made this fact finding, and having represented to Applicant in writing that the immediate subject matter is patentable, the Board should not condone the Examiner's arbitrary and capricious change in position.

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<sup>&</sup>lt;sup>1</sup> N.B.: Parent application claim No.18 also includes a third step: sending an email. It might have been argued that this third step differentiates the two claims, making the Applicant's Declaration applicable to one, but not the other. The Office, however, specifically rejected this argument, finding, to the contrary, that extracting an email address inherently includes sending an email as a mere obvious variant thereof. The Office has therefore found step c. not material.

Ex parte Stephen M. REUNING Serial No. 09/897,826 Art Unit 2175 Examiner Samuel RIMELL, Esq.

#### **SUMMARY**

The DECISION ON APPEAL (30 Sept 2004) relies on factual assumptions which are directly contradicted by the agency's prior administrative fact findings. MCGOVERN fails to include each claim element, and MCGOVERN must be withdrawn as a reference because the Office has previously concluded that Applicant antedates it.

Respectfully submitted,

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Pharnaceutical Patent Attorneys, LLC

Madison Avenue, 4th floor

Attention: Mark POHL (P 4014)

Morristown, NJ 07960-7397 U.S.A.

23 November 2004

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Mark POHL, Reg. No. 35,325

Direct Mark.Pohl@LicensingLaw.Net

**1** +1 (973) 984-0076

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#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/897,826	07/03/2001	Stephen Michael Reuning	Diedre/Candidate	3851
22925 7	590 01/25/2005		EXAM	INER
PHARMACE 55 MADISON	UTICAL PATENT A	ATTORNEYS, LLC	RIMELL, SA	AMUEL G
4TH FLOOR			ART UNIT	PAPER NUMBER
MORRISTOW	N, NJ 07960-7397	( 6	2165	
		JUL 0 1 2005	DATE MAILED: 01/25/2005	5
		TO 4DEMARK		

Please find below and/or attached an Office communication concerning this application or proceeding.



Application No.	Applicant(s)	
09/897,826	REUNING, STEPHEN MICHAEL	
Examiner	Art Unit	
Com Dimall	2165	

Notice of Abandonment | Sam Rimell 2165 -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address-This application is abandoned in view of: 1. Applicant's failure to timely file a proper reply to the Office letter mailed on \_\_\_ (a) A reply was received on \_\_\_\_ (with a Certificate of Mailing or Transmission dated \_\_\_\_ ), which is after the expiration of the period for reply (including a total extension of time of \_\_\_\_\_ month(s)) which expired on \_\_\_\_ (b) A proposed reply was received on \_\_\_\_\_, but it does not constitute a proper reply under 37 CFR 1.113 (a) to the final rejection. (A proper reply under 37 CFR 1.113 to a final rejection consists only of: (1) a timely filed amendment which places the application in condition for allowance; (2) a timely filed Notice of Appeal (with appeal fee); or (3) a timely filed Request for Continued Examination (RCE) in compliance with 37 CFR 1,114). (c) A reply was received on \_\_\_\_\_ but it does not constitute a proper reply, or a bona fide attempt at a proper reply, to the nonfinal rejection. See 37 CFR 1.85(a) and 1.111. (See explanation in box 7 below). (d) No reply has been received. 2. Applicant's failure to timely pay the required issue fee and publication fee, if applicable, within the statutory period of three months from the mailing date of the Notice of Allowance (PTOL-85). (a) The issue fee and publication fee, if applicable, was received on \_\_\_\_\_ (with a Certificate of Mailing or Transmission dated \_), which is after the expiration of the statutory period for payment of the issue fee (and publication fee) set in the Notice of Allowance (PTOL-85). (b) ☐ The submitted fee of \$ is insufficient. A balance of \$ is due. The issue fee required by 37 CFR 1.18 is \$\_\_\_\_\_. The publication fee, if required by 37 CFR 1.18(d), is \$\_\_\_\_\_. (c) The issue fee and publication fee, if applicable, has not been received. 3. Applicant's failure to timely file corrected drawings as required by, and within the three-month period set in, the Notice of Allowability (PTO-37). (a) Proposed corrected drawings were received on \_\_\_\_\_ (with a Certificate of Mailing or Transmission dated ), which is after the expiration of the period for reply. (b) No corrected drawings have been received. 4. The letter of express abandonment which is signed by the attorney or agent of record, the assignee of the entire interest, or all of the applicants. 5. The letter of express abandonment which is signed by an attorney or agent (acting in a representative capacity under 37 CFR 1.34(a)) upon the filing of a continuing application. 6. The decision by the Board of Patent Appeals and Interference rendered on 9/30/04 and because the period for seeking court review of the decision has expired and there are no allowed claims. 7. The reason(s) below:

> Sam Rimell Primary Examiner Art Unit: 2165

Petitions to revive under 37 CFR 1.137(a) or (b), or requests to withdraw the holding of abandonment under 37 CFR 1.181, should be promptly filed to minimize any negative effects on patent term.

Please type a plus sign (+) inside this box 

RADENOS. Pa

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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S.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

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Total Number	of Pages	in This	Submission
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Application Number	09/897,826
Filing Date	3 july 2001
First Named Inventor	REUNING
Group Art Unit	Board of Appeals
Examiner Name	RIMMEL
Attornev Docket Number	Diedre

		ENCLOSURES (check	all that apply)	
Extension of Time I  Express Abandonn  Information Disclos  Certified Copy of P Document(s)  Response to Missii Incomplete Applica  Response to	d y eclaration(s) Request nent Request sure Statement riority ng Parts/	Assignment Papers (for an Application)  Drawing(s)  Licensing-related Papers  Petition  Petition to Convert to a Provisional Application  Power of Attorney, Revocation Change of Correspondence Address  Terminal Disclaimer  Request for Refund  CD, Number of CD(s)  Remarks  On 23 No Reconsideration with the Board a Notice of Abandonment (copy	After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below): Request for Rehearing  ov 04, I filed a Request for (copy enclosed). I just received enclosed). Could you please ment and provide a response to	
	SIGNATI	JRE OF APPLICANT, ATTORNEY, OR	ACENT	
Firm or Individual name Signature Date	Pharmaceutical	Patent Attorneys, LLC enue, 4th floor, Morristown NJ		
	CERTIFICATE OF MAILING			

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Date

3 Feb 05

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Mark POHL, Reg. No. 35,325

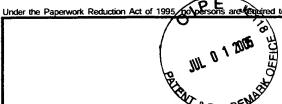
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The submitted papers are enumerated on the enclosed Transmittal Form, PTO Form SB/21.

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-TO: Auto-reply fax to 9739846159 COMPANY:

### **Auto-Reply Facsimile Transmission**



TO: Fax Sender at 9739846159

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ADVISORY: This is an automatically generated return receipt confirmation of the facsimile transmission received by the Office. Please check to make sure that the number of pages listed as received in Total Pages above matches what was intended to be sent. Applicants are advised to retain this receipt in the unlikely event that proof of this facsimile transmission is necessary. Applicants are also advised to use the certificate of facsimile transmission procedures set forth in 37 CFR 1.8(a) and (b), 37 CFR 1.6(f). Trademark Applicants, also see the Trademark Manual of Examining Procedure (TMEP) section 306 et seg.

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Individual name	55 Madison Avenue, 4th floo	r, Morristown NJ	07960-7397 USA
Signature	America	: .	1
Date :	See below date		
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DC 20231. DO NOT SEND PEES	culted to complete this form should be sent to COMPARTED FORMS TO THIS ADDRESS.	o the Chief Information Officer, IS: SEMO TO: Assistant Comm	The earth of the Individual case. Any comments .  13. Patent and Trackment Office Washington . saloner for Patents, Washington, DC 20221.